



Singular Value Decomposition (SVD) of H

$$H = U\Sigma V^T; UU^T = I, VV^T = I$$

$$\Sigma = \begin{bmatrix} \sigma_1 & 0 \\ 0 & \sigma_2 & \dots & \sigma_t \end{bmatrix} \quad \sigma_1 > \sigma_2 > \dots > \sigma_t$$

$$\bar{y} = H\bar{x} + \bar{n} = U\Sigma V^T \bar{x} + \bar{n}$$

$$\Rightarrow \bar{y}_{tx1} = U^T \bar{y} = \sum V^T x_i + U^T \bar{n} = \sum \tilde{x}_i + \bar{n}$$

Decoupling/Parallelization of MIMO channels

$$\sigma_n^2 = E[\tilde{n}\tilde{n}^T] = \sigma_n^2$$

$$\Rightarrow \text{SNR of } i^{\text{th}} \text{ stream} = \frac{P_i \sigma_i^2}{\sigma_n^2}$$

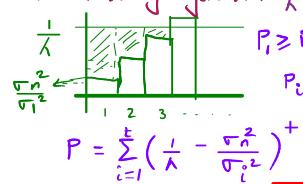
$$\text{Total capacity} = \sum_{i=1}^t \log \left(1 + \frac{P_i \sigma_i^2}{\sigma_n^2} \right)$$

Optimal MIMO power allocation:

$$\max_{P_1, \dots, P_t} \sum_{i=1}^t \log \left(1 + \frac{P_i \sigma_i^2}{\sigma_n^2} \right)$$

$$\text{s.t. } \sum_{i=1}^t P_i \leq P$$

- Water filling algorithm $\frac{1}{\lambda} = ?$



Asymptotic Capacity:

$$C_a = \log \left| I + \frac{1}{\sigma_n^2} H R_x H^T \right|$$

$$R_x = \frac{P_E}{t}, \quad t \gg n, \quad HH^T \rightarrow tI$$

$$\Rightarrow C_a = n \log \left(1 + \frac{P_E}{\sigma_n^2} \right)$$

$$= \min(n, t) \log_2 \left(1 + \frac{P_E}{\sigma_n^2} \right)$$

Ca ↑ as $n \uparrow \rightarrow$ MIMO increases capacity

Alamouti code: → for 2Tx, 1Rx system

- Orthogonal Space Time Block code (OSTBC)
- Achieves diversity without channel state information (CSI)

1st Instance 2nd Instance

$$y(1) = [h_1 \ h_2] \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + n(1) \quad y(2) = [h_1 \ h_2] \begin{bmatrix} x_2 \\ x_1 \end{bmatrix} + n(2)$$

After Rx:

$$\begin{bmatrix} y(1) \\ y^*(2) \end{bmatrix} = \begin{bmatrix} h_1 & h_2 \\ h_2^* & -h_1^* \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} n(1) \\ n^*(2) \end{bmatrix} \rightarrow 2 \times 2 \text{ MIMO}$$

$$\bar{W}_1^T \bar{y} = \|h\| x_1 + \bar{n}_1 \Rightarrow \text{SNR} = \frac{\|h\|^2 P_E}{\sigma_n^2}; \quad w_1 = \frac{c_1}{\|h\|}$$

$$\bar{W}_2^T \bar{y} = \|h\| x_2 + \bar{n}_2 \Rightarrow \text{SNR} = \frac{\|h\|^2 P_E}{\sigma_n^2}; \quad w_2 = \frac{c_2}{\|h\|}$$

c_1, c_2 are orthogonal

space $\begin{bmatrix} x_1 & -x_2 \\ x_2 & x_1 \end{bmatrix}$ transmits 2 symbols / 2 time slots

→ Rate = 1 (full rate)

Non linear MIMO receiver

V-BLAST (Vertical Bell Labs Space Time)

- Employs successive Interference cancellation (SIC)

$$\bar{y} = H\bar{x} + \bar{n} = \bar{h}_1 x_1 + \bar{h}_2 x_2 + \dots + \bar{h}_t x_t + \bar{n}$$

$$\bar{y}_1 = \bar{y}_1^T \bar{y} = x_1 + \bar{n}; \quad Q = \begin{bmatrix} \bar{y}_1 \\ \bar{y}_2 \\ \vdots \\ \bar{y}_t \end{bmatrix} \quad \text{and } QH = I$$

$$\bar{y}_2 = \bar{y} - \bar{h}_1 x_1 = \bar{h}_2 x_2 + \dots + \bar{h}_t x_t + \bar{n} \rightarrow \text{MIMO}$$

- repeat the process for $x_2 \dots$

- Diversity order progressively increases

- Streams decoded later have higher diversity

- for last symbol n^{th} order diversity.

MIMO Beam-forming

- use directional antennas

$$\bar{y} = U\Sigma V^T \bar{x} + \bar{n}; \quad V^T v_i = \begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

Maximal Ratio Transmission

$$\bar{y} = \sigma_i \bar{x}_i \bar{u}_i + \bar{n} \quad \text{dominant direction}$$

$$\bar{u}_i^T \bar{y} = \sigma_i \bar{x}_i + \bar{n} \Rightarrow \text{SNR} = \frac{\sigma_i^2 P}{\sigma_n^2}$$

- MRT is capacity optimal at low SNR

- Achieves complete diversity order

Advantage:

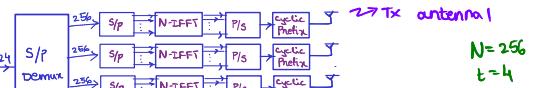
Simple Tx and Rx scheme for MIMO

MIMO-OFDM

Frequency selective MIMO channel modelled as

$$\bar{y}(k) = \sum_{n=0}^{L-1} H(l) \bar{x}(k-l) + \bar{n}(k)$$

- we need to perform IFFT at each Tx antenna



$$\bar{Y}(0) = \bar{H}(0) \bar{X}(0) \quad \bar{H}(0) \bar{H}(0)^T \dots \bar{H}(k) \bar{H}(k)^T \text{ - flat fading}$$

$$\bar{Y}(k) = \bar{H}(k) \bar{X}(k) \quad \bar{H}(k) \bar{H}(k)^T \text{ - } k^{\text{th}} \text{ vector}$$

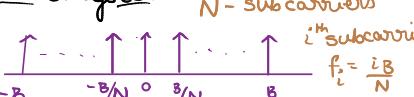
$$\hat{X}(k) = \bar{H}(k)^T \bar{Y}(k), \quad \hat{x}_{\text{MMSE}}(k) = P_d (\bar{H}_d \bar{H}(k) \bar{H}(k)^T + \sigma_n^2 I)^{-1} \bar{Y}(k)$$

B - Bandwidth available for communication

$$T = \frac{1}{B} \rightarrow \text{symbol duration}$$

If we tx 1 symbol for every T sec
Then symbol rate = $1/T = B$

Multi carrier system: N - subcarriers



x_i → data transmitted on i^{th} subcarrier

$$s(t) = \sum_{i=1}^N x_i e^{j2\pi f_i B t} \quad s_i(t) \rightarrow \text{duration } \frac{N}{B}$$

If no noise

$$r_s(t) = \sum_{i=1}^N x_i e^{j2\pi f_i B t} \quad \text{duration of detection}$$

- By coherent demodulation $\hat{x}_i = \frac{B}{N} \int r_s(t) e^{-j2\pi f_i B t} dt = x_i$

This scheme is called Multi carrier modulation (MCM)

- Symbol rate = $\frac{N}{N/B} = B$ (same as single carrier)

B=1024 kHz → Single carrier $\rightarrow B > B_c \approx 200-300 \text{ kHz}$ (ISI, freq-selective)
Multicarrier $\rightarrow \frac{B}{N} \ll B_c \rightarrow$ Flat fading (no ISI)

Bottleneck:
- Implementing N modulators and N-demodulators.

Orthogonal Frequency Division Multiplexing (OFDM)

- Sample s(t) with $T_s = \frac{1}{B}$

$$u^{\text{th}} \text{ sample: } s(uT_s) = \sum_{i=0}^{N-1} x_i e^{\frac{j2\pi i u}{N}}$$

IDFT of $[x(0) \ x(1) \ \dots \ x(N-1)]$

- Implementing this have lower complexity than correlators

→ Prev symbol

$$y(0) = h(0)x(0) + h(1)\tilde{x}(N-1) + \dots + h(N-1)\tilde{x}(N-L+1)$$

cyclic prefix: To avoid ISI and make channel

multiple flat fading channels

By cyclic prefix: → has only samples of x

$$y(0) = h(0)x(0) + h(1)x(N-1) + \dots + h(L-1)x(N-L+1)$$

⇒ $y(k) = H(k) \cdot X(k) \rightarrow$ Flat fading for k^{th} subcarrier

∴ wide band Frequency became group of narrowband flat-fading channels

Sample detection: By Zero forcing $\hat{x}(k) = \frac{1}{H(k)} Y(k)$

By matched filter: $\hat{x}(k) Y(k) = |H(k)|^2 x(k) + N(k)$

$$\text{By MMSE: } \hat{x}_{\text{MMSE}}(k) = \frac{H^*(k)}{|H(k)|^2 + \sigma_n^2} Y(k)$$

- Max length of cyclic prefix = $L-1$

⇒ Loss of efficiency = $\frac{L-1}{N+L-1} \xrightarrow{N \rightarrow \infty} 0$

N↑ → symbol duration ↑ → increases decoding time

Intuitively: $N_{\text{Tx}} \geq T_d \Rightarrow N_{\text{cp}} \geq \frac{1}{2} \frac{B}{B_c}$ Standard symbol time

$N \gg N_{\text{cp}} \gg \frac{B}{B_c} \Rightarrow B_c \gg \frac{B}{N}$ for flat fading

Link budget:

- + Transmit power P_T
- + Gain G_T
- Median loss propagation L^{50}
- Margin M_{dB}
- + Mobile Rx Gain G_m
- cable loss L_n
- Receiver (noise + interference) $N + I$
- = Required SNR SNR_{req}

Soft handover → change code

Hard handoff → change freq.